

PTO/SB/21 (08-0) 0 3

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Application Number 09/881,475 TRANSMITTAL Filing Date June 14, 2001 **FORM First Named Inventor** H. Ralph SNODGRASS **Group Art Unit** 1655 (to be used for all correspondence after initial filing) **Examiner Name** To Be Assigned Total Number Of Pages In This Submission 6 + 3 Refs. Attorney Docket No. 441472000400 **ENCLOSURES** (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please identify **Extension of Time Request** Change of Correspondence Address below): Form PTO-1449 (1 page in duplicate) Terminal Disclaimer 3 cited references Return receipt postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement (3 X CD, Number of CD(s) pages) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm 4 Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Cara M. Coburn, Reg. No. 46,631 Individual Name Signature Date April 3, 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 3, 2002.

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Docket No. 441472000400

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artina Placid Martina Placid

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APR 1 7 2002

In the application of:

H. Ralph SNODGRASS

Serial No.:

09/881,475

Filing Date:

June 14, 2001

For:

TOXICITY TYPING USING

MESENCHYMAL STEM CELLS

Examiner: To Be Assigned

Group Art Unit: 1655

TECH CENTER 1600/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an observation by a third party in a related European application. Please note, the international publications WO 97/01644 and WO 97/13877 mentioned in the observation were not included on the form 1449 as they were both previously cited in an earlier Information Disclosure Statement for the subject application.

This Information Disclosure Statement is submitted:

	Within three months of the application filing date or before mailing of a first	
	Office	e Action on the merits; accordingly, no fee or separate requirements are
	required.	
	After	receipt of a first Office Action on the merits but before mailing of a final
	Office Action or Notice of Allowance.	
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is
		attached to this submission in duplicate.
	,	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly;
		no fee is believed to be due.
	After mailing of a final Office Action or Notice of Allowance, but before payment	
	of the issue fee.	
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in
		the amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee
		Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>441472000400</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 3, 2002

Respectfully submitted,

Registration No. 46,631

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